

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James R. Goodman

Examiner: Thanh Duc Vo

Serial No.: 10/755,448

Group Art Unit: 2189

Filed: February 10, 2004

Conf. No. 9410

Title: *Computer Architecture Providing Transactional, Lock-Free Execution of Lock-Based Programs*

STATEMENT OF JAMES R. GOODMAN

Commissioner for Patents
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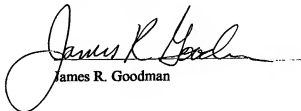
Sir:

1. I am a named co-inventor with Ravi Rajwar on the above-referenced application, against which a thesis entitled "Speculation-based Techniques for Transactional Lock Free Execution of Lock-based Programs" by Ravi Rajwar has been cited.
2. This thesis was submitted to the graduate school of the University of Wisconsin-Madison in partial fulfillment of the requirements of a degree in Doctor of Philosophy and represents the work of the author under the guidance of a faculty advisor.
3. It is typical that dissertations are undertaken based on suggestions by the faculty advisor and with guidance throughout the student's years of study from that advisor.
4. I was Ravi's faculty advisor for this thesis. As such I consulted with Ravi both in the formulation of the problems addressed and in their solutions, in the process

making inventive contributions to the topics described in this dissertation and ultimately claimed in the present patent. I believe, therefore, that I am properly named as a co-inventor.

5. It is practice that such dissertations only name a single student author and do not name those who assisted the student or have made contributions in the student's work. It is normal practice that a doctoral thesis does not name the faculty advisor with whom the student worked, regardless of the level of contribution by that faculty advisor.

Respectfully submitted,



James R. Goodman

Date: 6 OCT, 2006